

# FOOD SAFETY OUTREACH PROJECTS (FSOP) EVALUATION SUMMARY



## **METHODS**

An online survey instrument was developed by the lead evaluator, Amy Harder, in early 2019. Questions were derived by reviewing abstracts of current projects as well as the funding agency's request for proposals. An expert panel consisting of scientists from the Lead Center conducted the initial review of the instrument and provided clarification on technical items. The instrument was then provided to Dr. Jodi Williams, National Program Leader for Food Safety, USDA-NIFA for approval prior to distribution; approval was received from Dr. Williams as well as the University of Florida IRB.

The population for the evaluation consisted of the project directors (PDs) of all active FSOP projects. The frame was provided by USDA-NIFA. All PDs received an initial notice via e-mail, describing the study and providing them with a copy of the instrument to preview. Data collection officially began, using Qualtrics, on April 29, 2019. Each PD (N = 63) received a personalized link to the evaluation instrument. One e-mail invitation bounced, reducing the accessible population to 62 potential respondents. A reminder e-mail was sent on May 17, 2019. A total of 35 usable responses was received, for a 56.45% response rate (see Appendix A for a detailed listing of responding projects). Nearly all respondents indicated their projects were not completed.

## **MAJOR FINDINGS**

#### **Overview**

This section provides information about the aggregated outputs and outcomes of the reporting FSOP projects. Duplicate responses have been combined where appropriate for the sake of brevity (e.g. commodities, target audiences). Similarly, bulleted lists have been used for clarity. Additional detailed information about project highlights is provided in Appendix B.

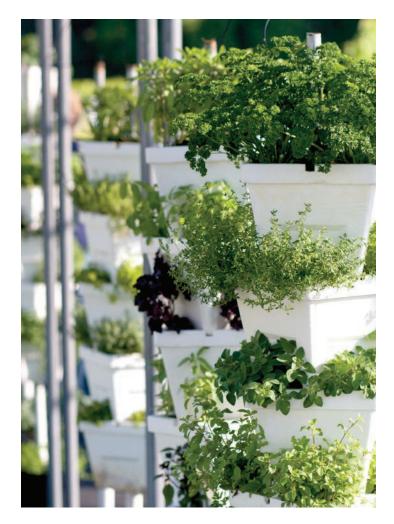
#### **Primary Purpose of Project**

| Purpose  | n  |
|--|----|
| Create new curricula, educational resources, and/or training materials | 27 |
| Deliver trainings and workshops  | 26 |
| Develop new groups and/or communication networks                       | 6  |
| Develop new or novel educational delivery mechanisms                   | 3  |
| Develop new physical tools and/or equipment                            | 2  |

#### **Specific Commodities**

#### • Produce

- Specific crops mentioned: strawberries, hot peppers, cabbage, onions, carrots, Asian leafy vegetables
- Maple syrup and maple products
- Culinary herbs
- Fermented, acidified, and acid foods



#### **Common Food Safety Issues Addressed by Project**

- Good Agricultural Practices (GAP) certification
- Produce safety
- Good Manufacturing Practices
- Hazard Analysis & Critical Control Points
- Food Safety Manager and Food Safety Preventive Controls Alliance (FSCPA) Preventive Controls for Human Foods
- Farm food safety
- NIFA related food safety information



- General risk management practices on farm specific to strawberries
- Need for education related to new policy
- Allergens, Food Safety Modernization Act (FSMA), Quality Assurance/Quality Control procedures, Safe Quality Food, food defense, etc.
- How to sell a variety of specialty foods in the direct market
- Addressing awareness on risk assessment, risk analysis, and risk management
- Agricultural water safety
- Allergen management in shared use kitchens, pathogen cross-contamination
- Food safety issues of small, minority, disadvantaged, and beginning farmers

#### **Educational Materials Projects**

 a. Present Status of Educational Materials Projects (as of May 2019) (See Table 1).

- **b.** Format of Educational Materials
  - 22 printed resources
  - 18 online resources
  - 3 videos
  - 3 smartphone applications
  - 2 audio resources
- c. Number of Trainings or Workshops Held
  - Produce Safety Alliance (PSA) = 14
  - FSPCA = 5
  - Other = 78
- **d.** Project's Target Audience(s)
  - 15 targeted growers
  - 9 targeted processors
  - 1 targeted wholesaler
  - 6 targeted Extension agents
  - 5 targeted "other" audiences, including cottage food operators, college students, small business owners, food hub managers and staff, train-the-trainers, and non-profit educators

#### Table 1.

| Primary Purpose of Project  | Intend to | In Progress | Complete | N  |
|---|-----------|-------------|----------|----|
| Create new curriculum, educational resources, and/or training materials                                 | 2         | 17          | 6        | 25 |
| Adapt existing curriculum, educational resources, and/<br>or training materials for special populations | 5         | 11          | 3        | 19 |
| Translate existing curriculum, educational resources, and/or training materials into another language   | 6         | 3           | 0        | 9  |

- e. Number of participants trained
  - 374 trained PSA participants
  - 68 trained FSPCA participants
  - 1,262 participants in "other" trainings
- f. Target audiences
  - Minority and underserved growers
    - Specifically mentioned: Hispanic, non-native English speakers, New Americans, military veterans, Amish and Mennonite, Hmong, tribal audiences, small to very small producers, urban producers, sustainable and/or organic growers
  - Beginning and early stage food processors
  - Cottage food operators
  - Food industry workers
  - Food handling personnel
  - Students enrolled in the School of Natural Sciences and Technology of the Universidad del Turabo
  - Maple producers
  - Food hubs
  - Train-the-trainers
  - Specialty crop growers



#### g. Availability of detailed outcome data

- 19 PDs reported having data demonstrating knowledge, aspirations, skills, or attitudinal outcomes
- 11 PDs reported having data demonstrating adoption of new behaviors/practices related to food safety

#### **New Tools or Equipment Projects**

- a. Purpose of project
  - Creation of water treatment mobile unit
    - Status: incomplete

#### Technical Assistance Network, Advisory, and/or Communication Platform Projects

| Project Type                                  | Intend<br>to Develop | In Progress | Complete |  |
|---|----------------------|-------------|----------|--|
| Technical<br>Assistance Network               | 0                    | 2           | 1        |  |
| Advisory Panel                                | 0                    | 1           | 3        |  |
| Communication<br>Platform for<br>Stakeholders | 1                    | 1           | 2        |  |

#### **New or Novel Educational Delivery Mechanisms**

- Development of an online platform for writing, reviewing, editing produce safety plans, and then documenting their implementation
- Learning circles and positive deviance for farmers to learn food safety
- Field demonstration for produce safety practices for growers

## **EVALUATOR ASSESSMENT**

The purpose of the FSOP projects is to provide food safety education and training for small and mid-sized producers and processors affected by FSMA. Data provided by the participants in this evaluation demonstrate that progress is being made that is consistent with the intent of the project funding. A limitation of this evaluation is the response rate; no conclusions can be drawn about the projects that did not have PD respond.

Most of the evaluation participants reported a focus on curriculum/educational materials development and/or workshop delivery. Most educational materials are still in progress, although 9 were reported completed (6 new and 3 adapted for special populations). A variety of formats will be available, assuming all projects complete their work successfully. Printed materials were slightly more prevalent than online materials; the availability of both will be useful for reaching a wide variety of audiences given varying access to and adoption of the Internet for education.

A substantial amount of effort has been dedicated to delivering trainings. PSA trainings were delivered almost three times more frequently than FSPCA (14 to 5). PDs reported 78 "other" trainings; this evaluation does not provide detail about those trainings. Future evaluations will collect additional information; it was not anticipated that there would be a great need for the "other" category based on project descriptions. That error will be corrected moving forward. Over 1,500 participants have been trained to date as a result of FSOP projects. Most PDs reported targeting underserved audiences, consistent with the intent of FSOP funding. One positive aspect to note is that of the 26 PDs who indicated conducting training, 19 PDs reported having detailed evaluation data regarding short-term (knowledge, attitudes, skills, or aspirations) outcomes and 11 PDs reported having detailed data regarding medium-term (behavior change or practices adopted) outcomes. Several PDs noted this detailed information is available in their REEport submissions.

Very few PDs reported a focus on new tools or equipment, communication platforms, or new educational delivery mechanisms. The most progress appears to have been made creating advisory panels and communication platforms. However, these projects areas do not comprise a significant amount of the effort being done for the FSOPs. If they are critically important, it may be useful to emphasize them differently in future requests for proposals. Overall, the responding PDs provided data that leads to an optimistic outlook for the positive impact of the FSOP projects. Several PDs e-mailed me directly to express their appreciation for a chance to discuss their project's successes, indicating they have pride in their work and an eagerness to communicate about their accomplishments. Some PDs were confused as to why I was collecting evaluation data outside of the REEport system. It may be useful to review and revise the evaluation section within future RFPs to ensure PDs clearly understand what is required to demonstrate project effectiveness and to encourage inclusion of a professional evaluator on the project team. Such actions would be expected to improve the quality and quantity of evaluation data available in the future.

Respectfully submitted,

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**Dr. Amy Harder** Professor, University of Florida



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# **APPENDIX A: REPORTING PROJECTS**

| ProposalTitle   | PD Last Name       | PD<br>First Name | PD<br>State | CRIS Number | FY Awarded | PD Institution   |
|---|--------------------|------------------|-------------|-------------|------------|--|
| Assisting Maple Syrup Producers to<br>Comply with the Preventive Controls for<br>Human Food Rule of FSMA  | Bryant             | Heather          | NH          | 1017593     | 2018       | University of<br>New Hampshire                                     |
| Mobile Produce and Practicing Food<br>Safety Project  | Small              | Voulynne         | NC          | 1014983     | 2017       | Brother Excelling with Self-<br>sufficiency to Thrive (BEST)       |
| Developing a food safety culture of<br>practice: Addressing the challenges<br>of shared-kitchens and small-scale<br>manufacturing operations  | Faigel             | Jen              | MA          | 1017253     | 2018       | CommonWealth<br>Kitchen, Inc                                       |
| Bridging knowledge gaps in food quality assurance to strengthen the foundation for food safety training.  | Harris             | Linda            | CA          | 1017188     | 2018       | The Regents of the<br>University of<br>California (Davis)          |
| Food Safety Education Program for<br>Veteran Farmers  | Feng               | Yaohua           | IN          | 1017194     | 2018       | Purdue University  |
| Turabo Food Safety (TuFS) Education<br>and Outreach Initiative  | Sanchez-<br>Vega   | Margie           | PR          | 1017105     | 2018       | SUAGM, Inc. dba<br>Universidad del Turabo                          |
| Food Safety for Qualified Exempt<br>Food Producers to Build Economic<br>Viability Under the Food Safety<br>Modernization Act  | Padilla-<br>Zakour | Olga             | NY          | 1017351     | 2018       | Cornell University   |
| Document Development and<br>Management Training for Small-Scale<br>Processors of Fermented, Acid, and<br>Acidified Foods  | Snyder             | Abby             | ОН          | 1017125     | 2018       | The Ohio State University  |
| Expanding the reach of New Hampshire's food safety education and FSMA programs to include value added processors  | Hamilton           | Ann              | NH          | 1017285     | 2018       | University of<br>New Hampshire                                     |
| The CHIP Project: Developing and<br>implementing food safety education<br>and outreach for Commercial-Kitchens,<br>Home-Kitchens, Incubator-Kitchens and<br>Food Producers in West Virginia | Govindan           | Megan            | WV          | 1017169     | 2018       | West Virginia University   |
| Develop hands-on training to evaluate<br>and reduce microbial food safety risk<br>associated with agriculture water   | Adhikari           | Achyut           | LA          | 1017156     | 2018       | LSU AgCenter   |
| Online Food Safety Training for New<br>Mexico Food Manufacturers  | Flores             | Nancy            | NM          | 1017309     | 2018       | The Regents of New<br>Mexico State University                      |
| Enhancing Produce Safety for Under-<br>served Farmers in Louisiana  | Malekian           | Fatemeh          | LA          | 1017147     | 2018       | Southern University<br>Agricultural Research &<br>Extension Center |
| Supporting FSMA compliance for<br>Californias regional food hubs through<br>training and technical assistance   | Feenstra           | Gail             | CA          | 1017208     | 2018       | The Regents of the<br>University of<br>California (Davis)          |
| Expanding Farmer Education to<br>Create a Culture of Food Safety in the<br>Chesapeake Region  | Ward               | Tracy            | MD          | 1017262     | 2018       | Easton Economic<br>Development Corporation                         |

| ProposalTitle  | PD Last Name       | PD<br>First Name | PD<br>State | CRIS Number | FY Awarded | PD Institution   |
|--|--------------------|------------------|-------------|-------------|------------|--|
| A Primer To The Produce Safety Rule<br>For Small And Very Small Farms In<br>Washington State   | Smith              | Stephanie        | WA          | 1017198     | 2018       | Washington State<br>University                                 |
| Food Safety Outreach & Technical<br>Assistance to Limited Resource, Socially<br>Disadvantaged Small Farmers in Santa<br>Clara, San Benito, Santa Cruz Counties | Gazula             | Aparna           | CA          | 1017189     | 2018       | The Regents of the<br>University of California                 |
| Expanding FSMA Produce Safety Rule<br>Outreach to Underserved Diversified<br>Fruit and Vegetable Farms in Minnesota  | Hultberg           | Annalisa         | MN          | 1017186     | 2018       | Regents of the<br>University of Minnesota                      |
| Strengthening food safety education and<br>outreach for Kansas and Missouri fruit<br>and vegetable producers   | Nwadike            | Londa            | KS          | 1010530     | 2016       | Kansas State University  |
| Community Accreditation for Produce safety in Vermont  | Grubinger          | Vernon           | VT          | 1011005     | 2016       | The University of<br>Vermont and State<br>Agricultural College |
| Development of an alternative FSMA<br>compliant produce safety curriculum<br>for plain sect and other smaller fresh<br>produce growers                         | LaBorde            | Luke             | PA          | 1010626     | 2016       | Pennsylvania State<br>University-Univ Park                     |
| Food Safety Hazards And Preventive<br>Control Education For Beginning<br>And Early-Stage Small-Sized Food<br>Entrepreneurs                                     | Ahn                | Soohyoun         | FL          | 1010586     | 2016       | University of Florida  |
| Local Produce Safety Initiative  | McSwain            | Karen            | NC          | 1013928     | 2017       | Carolina Farm Stewardship<br>Association                       |
| Integration of FSMA produce safety<br>outreach and education into extension<br>initiatives in Oregon   | Kovacevic          | Jovana           | OR          | 1013977     | 2017       | Oregon State University  |
| Food Safety Education and Outreach<br>Programming for Plain Growers  | llic               | Sanja            | ОН          | 1014011     | 2017       | The Ohio State University                                      |
| Achieving FSMA Compliance through<br>USDA Harmonized GAP - Preparing the<br>Four Corners Region's Diverse Farmers<br>for Food Safety Certification             | Bartley            | Benjamin         | NM          | 1017199     | 2018       | La Montanita<br>Food Cooperative                               |
| Continuing Food Safety Outreach and<br>Education for Local Food Systems in<br>California   | Feiereisel         | Kali             | CA          | 1017143     | 2018       | Community Alliance<br>with Family Farmers                      |
| Hawaii Roots Food Safety Community<br>Outreach Project   | Romero-<br>Briones | Vena             | CA          | 1017251     | 2018       | Lana'i Food Systems, LLC                                       |
| Environmental Assessments to<br>Customize Food Safety Training for Very<br>Small to Small Strawberry Producers in<br>the Southeastern United States            | Gibson             | Kristen          | AR          | 1013980     | 2017       | University of Arkansas   |
| Practical Tools for Food Safety on Small,<br>Diversified Fruit & Vegetable Farms   | Корра              | Kavita           | GA          | 1013954     | 2017       | Global Growers<br>Network, Inc.                                |

| ProposalTitle   | PD Last Name | PD<br>First Name | PD<br>State | CRIS Number | FY Awarded | PD Institution   |
|---|--------------|------------------|-------------|-------------|------------|--|
| Enhancing The Safety Of Locally Grown<br>Produce For Veterans In Agriculture  | Brightwell   | Rebecca          | GA          | 1013994     | 2017       | University of Georgia  |
| Food Safety Management Training for<br>Small and Emerging Food Businesses:<br>Integrating a Food Safety Culture from<br>Concept to Commercialization  | Kinchla      | Amanda           | MA          | 1014003     | 2017       | University of<br>Massachusetts Amherst                         |
| Food Safety Modernization Act (FSMA)<br>related food safety education and<br>outreach for hard-to-reach audiences<br>in the Pacific Northwest and Alaska<br>using current Land-Grant university<br>Extension system | Kim          | Jang Ho          | ID          | 1010674     | 2016       | University of Idaho  |
| A multistate effort to integrate and<br>expand farmers market food safety<br>materials across the southern region to<br>increase food safety culture  | Boyer        | Renee            | VA          | 1010671     | 2016       | Virginia Polytechnic<br>Institute and State<br>University      |
| Continued Successful Implementation<br>of FSMA in the North Central Region<br>through Adoption of a Systems<br>Approach and Stakeholder Engagement  | Hannan       | Joseph           | IA          | 1017260     | 2018       | lowa State University of<br>Science and Technology             |
| Northeast Center to Advance Food<br>Safety (NECAFS)   | Newbold      | Elizabeth        | VT          | 1017162     | 2018       | The University of<br>Vermont and State<br>Agricultural College |



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# **APPENDIX B: SELF-REPORTED PROJECT HIGHLIGHTS**

Default Report FSOP Retrospective Evaluation June 11, 2019 6:33 PM EDT

# Q16 - Are there any accomplishments that have already occurred that you would like to see highlighted in the report to the USDA? If so, please describe in detail using the text box below.

Are there any accomplishments that have already occurred that you would lik...

We are still in the development stage. We will conduct the trainings in late fall of 2019, as stated in our project timeline.

Of the forty program participants who received one-on-one direct technical assistance to date, 15 have passed a GAP Audit, 8 are scheduled for this summer and fall, and the rest are still in process.

We have received the funding in fall of 2018, set up the account and hired a training coordinator in March. We have been working on developing/modifying existing educational materials to make it easier for our growers to comply with GAP requirements. out first training will be on June 12-13, 2019.

The curriculum is in the process of being developed and is anticipated to meet the year 1 objectives for the proposed work.

128 minority-Hispanic participants have received training in Good Manufacturing Practices, HACCP, Food Safety Management and FSPCA Preventive Controls for Human foods. The majority of these participants are small growers and small food manufacturers with a business of their own.

#### submitted to reeport

We created a qualtrecs based online tool to help maple producers determine if and where they fall under the Preventive Controls for Human Food Rule of FSMA. To date it has been used 321 times.

We have completed a survey of strawberry growers primarily in Southeastern U.S. Data are currently being analyzed, but indicate that record keeping and documentation practices are the biggest hurdles in compliance with or adherence to the Produce Safety Rule. Based on this, one of the training foci will be on record keeping and documentation systems.

Vermont's Community Accreditation for Produce Safety is a voluntary, on-line program that has helped over 130 vegetable farms grow cleaner, safer product...more efficiently. CAPS guides its participants to write practical produce safety plans and then document their implementation. It grants certificates to those farms that adopt all of the required practices—identified by Vermont growers as necessary and useful. These include things like testing the water used to wash crops, training employees on sanitation, and developing standard procedures for cleaning harvest and storage equipment. CAPS is helping Vermont's growers adapt to new expectations of buyers and regulators. CAPS is effective because it was designed by vegetable farmers to make sense, and because it provides multiple benefits, on the ground, every day. We've begun developing the curriculum and also started to deliver initial modules to start the process of adoptionunderstanding adoption will take time because there is a bit of a culture shift—Staff now have white coat uniforms; everyone coming to the building has to sign in and wear a badge identifying them, etc. We're also developing some simple videos to teach proper equipment cleaning techniques. We're in the process of building a robust food safety culture.

A series of 4 webinars is planned for June 2019 (one per week over 4 weeks). The website is established and registration open.

Between November 2018 and January 2019, the project team hosted a series of 3 webinars for participants in the CA Food Hub Network on how the FSMA Preventative Controls Rule applies to food hubs and what is required for food hubs to be in compliance. Participants who completed an evaluation survey about the webinar series rated the webinars as "very useful" (80% of respondents, n = 5) or "somewhat useful" (20% of respondents, n = 5). Slides for all three webinars were shared with the 58 members of the CA Food Hub Network list-serve and will continue to be available for future reference. On January 11, 2019 the team offered a subsidized FSPCA Preventative Controls for Human Food PCOI certification course tailored to food hub managers and staff. In a post-training evaluation, participants were asked to rate their knowledge of the FSMA Preventive Controls for Human Food Rule prior to attending the FSPCA Hybrid course and after attending the FSPCA Hybrid course. 0% of survey respondents (n = 12) said they were "very knowledgeable" prior to attending the course compared to 50% (n = 12) who indicated they were "very knowledgeable" after attending the course. The remaining 50% said they were "somewhat knowledgeable" after attending the course. compared to 67% who were "somewhat knowledgeable" prior and 33% who indicated they had "no previous knowledge" prior to the training.

We are developing a "branded" toolkit for farmers market vendors. To date we have completed updating "Enhancing the safety of Local Produce" and developed a new series entitled "Enhancing the safety of Locally Prepared Foods" to encompass a number of specialty food items included Fermented vegetables, kombucha, maple syrup etc...



# Q16 - Are there any accomplishments that have already occurred that you would like to see highlighted in the report to the USDA? If so, please describe in detail using the text box below.

Are there any accomplishments that have already occurred that you would lik...

Results from our evaluation surveys indicate that our efforts are improving stakeholder understanding of food safety, and increasing their readiness to implement a culture of food safety on farm.

We have completed a needs assessment targeted at the food safety educational needs targeted to small and emerging food businesses.

We implemented on-farm workshops, as well as classroom-based workshops and carried out on-farm assessments on some farms before and after the workshops. Farms attending both types of workshops did show improvements in their food safety practices. We also developed an app that can help produce growers with their product traceability.

Another part of this project is our "Partner Farm" Program. We have five different farms across California that applied to be in the program. Staff at each farm work with us to improve their food safety program and then near the end of this program the farmers at each farm will host a field day to show the changes they've made to other farmers. At this point in time we have all five farms on board, have done the first in-person visit at each farm, and have worked through some steps in progressing each farm's food safety program. We developed a military veteran farmer group and delivered a series of food safety and farm sustainability workshop integrated with learning circle and positive deviance to them. This group shows a high attendance and retaintion rate as there is no monetary incentive. Farmers found this delivery format very helpful and easy to relate to their daily farming activities. One farmer (44 years old, female, 3 year farming experience) said, "I look forward to this monthly meeting. I can learn from my experts and my friends (peers)." We developed a series of food safety newsletters for farmer coalitions to send out monthly. All the topics were identified by focus group and interviews of farmers. They were specifically designed for veteran farmers in Indiana. We delivered one home canning workshop to farmers that were well received by the participants. They learned safe food handling practices and food safety regulations from the state and federal level. They were engaged in hands-on activites including cold- and hot-pack tomato canning. This workshop is one of the four full-day events that were proposed in our FSOP proposal. The other three full-day events will be on-farm workshops on "food safety and honey production," "food safety and produce production," "food safety and homesteading."

We hosted an Amish PSA training in conjunction with Iowa State University on the MN and WI line. We used all paper materials and folders, and no PPT. We followed up with the growers, who are likely all covered. We will help them develop recordkeeping protocols for the farms to comply with the PSR.

#### End of Report



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